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Special Master, in Propria Persona

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

vs.

GLR CAPITAL MANAGEMENT, LLC;  
GLR ADVISORS, LLC; JOHN A.  
GERINGER; CHRISTOPHER A. LUCK; and  
KEITH E. RODE,

Defendants,

And

GLR GROWTH FUND, L.P.,

Relief Defendant.

**Case No: CV12-02663 (EJD)**

**AMENDED (PROPOSED) ORDER**

**HEARING:**

**DATE: February 25, 2015  
TIME: 10:00 a.m.  
COURTROOM: 4**

**Honorable Edward J. Davila**

The Court, having reviewed the Special Master's Report No. 5, and evidence and proof having been made to the satisfaction of the Court, the Court hereby rules as follows:

1. IT IS HEREBY ORDERED that upon entry of this Order, GLR Capital Management, LLC, is hereby removed as General Partner of GLR Growth Fund, L.P.
2. IT IS HEREBY ORDERED that upon entry of this Order, R-3 Management, Inc., is hereby appointed as General Partner for GLR Growth Fund, L.P.

1 3. IT IS HEREBY ORDERED that any two members of the Board of Directors of R-3  
2 Management, Inc. shall have signature authority over all cash accounts that are the  
3 property of GLR Growth Fund, L.P.

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5 4. IT IS HEREBY ORDERED that the freeze on all monies and assets in all accounts at  
6 banks holding accounts in the name or for the benefit of GLR Growth Fund, L.P., ordered  
7 June 12, 2012 (ECF No. 15 at ¶ VI), is hereby lifted.

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9 5. IT IS HEREBY ORDERED that the requirement that the general partner of the GLR  
10 Growth Fund, L.P. provide Plaintiff Securities and Exchange Commission with notice  
11 before dealing in any asset of the GLR Growth Fund, L.P., ordered June 12, 2012 (ECF  
12 No. 15 at ¶ VII), is hereby lifted.

13 A separate order will issue addressing the Special Master's Motion for fees and  
14 expenses.

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18 DATED: May 2, 2016



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THE HONORABLE EDWARD J. DAVILA  
United States District Judge